



# DHWAJA SHARES & SECURITIES PVT.LTD.

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CIN No.: U67120MH2005PTC151191

## Data For the Month Ending AUGUST 2022

| SN | Received                | Carried forward from previous month | Received during the month | Total Pending | Resolved*  | Pending at the end of the month** |                                | Average Resolution time ^ (in days) |
|----|-------------------------|-------------------------------------|---------------------------|---------------|------------|-----------------------------------|--------------------------------|-------------------------------------|
|    |                         |                                     |                           |               |            | Pending for less than 3 months    | Pending for more than 3 months |                                     |
| 1  | 2                       | 3                                   | 4                         | 5             | 6          | 7                                 |                                | 8                                   |
| 1  | Directly from Investors | Nil                                 | Nil                       | Nil           | Nil        | Nil                               | Nil                            | Nil                                 |
| 2  | SEBI (SCORES)           | Nil                                 | Nil                       | Nil           | Nil        | Nil                               | Nil                            | Nil                                 |
| 3  | Depositories            | Nil                                 | Nil                       | Nil           | Nil        | Nil                               | Nil                            | Nil                                 |
| 4  | Other Sources(If any)   | Nil                                 | Nil                       | Nil           | Nil        | Nil                               | Nil                            | Nil                                 |
| 5  | <b>Grand Total</b>      | <b>Nil</b>                          | <b>Nil</b>                | <b>Nil</b>    | <b>Nil</b> | <b>Nil</b>                        | <b>Nil</b>                     | <b>Nil</b>                          |

## Trend of monthly disposal of complaints

| S.N. | Month             | Carried forward from previous month | Received | Resolved* | Pending** |
|------|-------------------|-------------------------------------|----------|-----------|-----------|
| 1    | 2                 | 3                                   | 4        | 5         | 6         |
| 1    | April -2022       | Nil                                 | Nil      | Nil       | Nil       |
| 2    | May -2022         | Nil                                 | Nil      | Nil       | Nil       |
| 3    | June -2022        | Nil                                 | Nil      | Nil       | Nil       |
| 4    | July -2022        | Nil                                 | Nil      | Nil       | Nil       |
| 5    | August -2022      | Nil                                 | Nil      | Nil       | Nil       |
| 6    | September-2022    |                                     |          |           |           |
| 7    | October -2022     |                                     |          |           |           |
| 8    | November -2022    |                                     |          |           |           |
| 9    | December -2022    |                                     |          |           |           |
| 10   | January -2023     |                                     |          |           |           |
| 11   | February -2023    |                                     |          |           |           |
| 12   | March -2023       |                                     |          |           |           |
|      | <b>GrandTotal</b> |                                     |          |           |           |

\*should include complaints of previous months resolved in the current month, if any

\*\*should include total complaints pending as on the last day of the month, if any ^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

| S.N. | Year               | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1    | 2017-18            | Nil                                | Nil                      | Nil                      | Nil                            |
| 2    | 2018-19            | Nil                                | Nil                      | Nil                      | Nil                            |
| 3    | 2019-20            | Nil                                | Nil                      | Nil                      | Nil                            |
| 4    | 2020-21            | Nil                                | Nil                      | Nil                      | Nil                            |
| 5    | 2021-22            | Nil                                | Nil                      | Nil                      | Nil                            |
|      | <b>Grand Total</b> | <b>Nil</b>                         | <b>Nil</b>               | <b>Nil</b>               | <b>Nil</b>                     |